



Sanjiv Ridge Stage 2 Compliance Assessment Plan

Ministerial Statement 1197

13/10/2022

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Authorisation

Version	Reason for Issue	Prepared	Checked	Authorised	Date
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1 Purpose

On 13 September 2022, Atlas Iron Pty Ltd (Atlas) received Ministerial approval to develop the Sanjiv Ridge Stage 2 Project (the Project), under Ministerial Statement 1197 (MS 1197). The Project will involve the above watertable mining of approximately 10 million tonnes of iron ore from the Glen Herring, Dazzler and Hero pits. The proposal will consist of three new pits, four waste rock dumps and a 4 km haul road connecting it to Stage 1, where ore will be hauled for processing. This Compliance Assessment Plan (CAP) has been developed to address Conditions 8-1 and 8-2 of MS 1197:

Condition 8-1: The proponent shall prepare and maintain a Compliance Assessment Plan which is submitted to the CEO at least six (6) months prior to the first Compliance Assessment Report required by condition 8-6, or prior to ground disturbing activities, whichever is sooner.

Condition 8-2: The Compliance Assessment Plan shall indicate:

- (1) the frequency of compliance reporting;*
- (2) the approach and timing of compliance assessments;*
- (3) the retention of compliance assessments;*
- (4) the method of reporting of potential non-compliances and corrective actions taken;*
- (5) the table of contents of Compliance Assessment Reports; and*
- (6) public availability of Compliance Assessment Reports.*

This CAP has been prepared in accordance with the Environmental Protection Authority's Post Assessment Guideline for Preparing a Compliance Assessment Plan, PAG No. 2 (OEPA, 2012a).

2 Frequency of Compliance Reporting

In accordance with Condition 8-6 of MS 1197, the first Compliance Assessment Report (CAR) shall be submitted to the CEO fifteen (15) months from the date of issue of the Ministerial Statement addressing the twelve (12) month period from the date of issue of the Statement and then annually from the date of submission of the first Compliance Assessment Report, or as otherwise agreed in writing by the CEO.

It will address the 12-month period from the date of issue of this statement (13 September 2022 to 12 September 2023). A CAR will then be submitted on the same date each year thereafter for the subsequent 12-month period (13 September to 12 September), or as otherwise agreed in writing by the CEO. CARs will be submitted to the Department of Water and Environmental Regulation (DWER).

The Compliance Assessment Report shall:

- (1) be endorsed by the proponent's Chief Executive Officer or a person delegated to sign on the Chief Executive Officer's behalf;*
- (2) include a statement as to whether the proponent has complied with the conditions;*
- (3) identify all potential non-compliances and describe corrective and preventative actions taken;*
- (4) be made publicly available in accordance with the approved Compliance Assessment Plan; and*



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(5) indicate any proposed changes to the Compliance Assessment Plan required by condition 8-1.

3 Approach and Timing of Compliance Assessments

Compliance with the conditions of MS 1197 shall be assessed in accordance with the approach and timing defined in the Audit Table template provided in Appendix A.

4 Retention of Compliance Assessments

In accordance with Condition 8-4 of MS 1197, Atlas shall retain all reports of all compliance assessments described in the Compliance Assessment Plan required by condition 8-1 and shall make those reports available when requested by the CEO.

5 Reporting Non-compliances and Corrective Measures

In accordance with Condition 8-5 of MS 1197, Atlas shall advise the CEO of any potential non-compliance within seven (7) days of that non-compliance being known. Serious non-compliances shall be reported as soon as practicable, within 48 hours. Information provided in any potential non-compliance report shall include:

- incident details (what, when, where)
- assessment of the extent of any associated impacts (where applicable)
- determination of the cause(s)
- details of remedial and/or corrective action(s) taken or proposed to be taken (if any)
- details of any existing preventative measures/controls that were in place and what, if any, amendments have been made to those measures to prevent reoccurrence.

6 Compliance Assessment Report Table of Contents

All CARs shall include the following components, in accordance with the EPA's Post Assessment Guideline for Preparing a Compliance Assessment Report, PAG No. 3 (OEPA, 2012b):

- **Introduction:** brief details on the Project, including the Statement number and the period of time covered by the CAR.
- **Implementation Status:** brief summary of the Project's implementation status including any issues that have arisen and/or major Project milestones and achievements.
- **Statement of Compliance:** prepared in accordance with and including all of the information required by the EPA's Post Assessment Form for a Statement of Compliance (OEPA, 2018, or as may otherwise be current at the time of assessment).
- **Details of Declared Compliance Status:** Details of declared compliance status of each implementation condition of MS 1197, including compliance status of key characteristics of the Project as required by Condition 1 of MS 1197. This section shall be supported by:
 - A **Completed Audit Table** prepared in accordance with EPA's Post Assessment Guideline for Preparing an Audit Table, PAG No. 1 (OEPA, 2012c) (template provided in **Appendix A**).
 - Any information and/or documentation which supports or verifies statements of compliance (e.g. photos, monitoring data and analyses, consultant reports, registers, maps, aerials, etc.).



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7 Public Availability of Reports

In accordance with Condition 9-1 and 9-2 of MS 1197, Atlas will make publicly available all validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps), management plans and reports relevant to the Project and implementation of MS 1197.

8 Revision of Compliance Assessment Plans

Atlas shall review and revise the CAP as required to reflect any changes made to the Project or Ministerial Statement where the change has an impact on any actions or requirements of the CAP.

9 References

OEPA, Office of the Environmental Protection Authority. (2012a). Post Assessment Guideline for Preparing a Compliance Assessment Plan. Retrieved 15/09/2022 from <https://www.epa.wa.gov.au/sites/default/files/PAG2%20-%20Guideline%20for%20Preparing%20a%20CAP.pdf>

OEPA, Office of the Environmental Protection Authority. (2012b). Post Assessment Guideline for Preparing a Compliance Assessment Report. Retrieved 15/09/2022 from <https://www.epa.wa.gov.au/sites/default/files/Publications/PAG3%20-%20Preparing%20a%20CAR.pdf>.

OEPA, Office of the Environmental Protection Authority. (2012c). Post Assessment Guideline for Preparing an Audit Table. Retrieved 15/09/2022 from <https://www.epa.wa.gov.au/sites/default/files/PAG1%20-%20Guideline%20for%20Preparing%20an%20Audit%20Table.pdf>.

OEPA, Office of the Environmental Protection Authority. (2018). Post Assessment Form for a Statement of Compliance. Retrieved 15/09/2022 from <https://www.epa.wa.gov.au/forms-templates/statement-compliance-form>.



Appendix A. Compliance Audit Table Template

Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information																											
1197:M1	Limitations and Extent of proposal	<p>When implementing the proposal, the proponent shall ensure the proposal does not exceed the following extents:</p> <table border="1"> <thead> <tr> <th>Proposal Element</th> <th>Location</th> <th>Maximum extent or range</th> </tr> </thead> <tbody> <tr> <td colspan="3"><i>Physical Elements</i></td> </tr> <tr> <td>Development envelope</td> <td>Figures 1 and 2</td> <td>No more than 246 ha</td> </tr> <tr> <td>Indicative footprint</td> <td>Figure 2</td> <td>No more than 125 ha within a 246 ha development envelope</td> </tr> <tr> <td>Mine Exclusion Zone (MEZ)</td> <td>Figure 3</td> <td>Exclusion of mining activities, pits, excavation, waste dumps and permanent structures.</td> </tr> <tr> <td colspan="3"><i>Operational elements</i></td> </tr> <tr> <td>Mining</td> <td>Figure 2</td> <td>Up to 3 Mtpa.</td> </tr> <tr> <td colspan="3"><i>Timing elements</i></td> </tr> <tr> <td>Project life</td> <td>N/A</td> <td>Up to 4.5 years from date of substantial commencement.</td> </tr> </tbody> </table>	Proposal Element	Location	Maximum extent or range	<i>Physical Elements</i>			Development envelope	Figures 1 and 2	No more than 246 ha	Indicative footprint	Figure 2	No more than 125 ha within a 246 ha development envelope	Mine Exclusion Zone (MEZ)	Figure 3	Exclusion of mining activities, pits, excavation, waste dumps and permanent structures.	<i>Operational elements</i>			Mining	Figure 2	Up to 3 Mtpa.	<i>Timing elements</i>			Project life	N/A	Up to 4.5 years from date of substantial commencement.	Implement Ground Disturbance Permit Procedure (950-EN-PRO-0006) and Clearing and Grubbing Procedure (950-EN-PRO-0002).	CAR	Overall	Life of Project.		
Proposal Element	Location	Maximum extent or range																																	
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Development envelope	Figures 1 and 2	No more than 246 ha																																	
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1197:M2-1	Terrestrial Fauna	<p>The proponent shall implement the proposal to meet the following environmental outcomes:</p> <p>(1) clearing in the fauna habitat type identified as Ironstone Ridgetop shall not exceed 89.1 ha;</p> <p>(2) clearing in the fauna habitat type Rocky Ridge and Gorge shall not exceed 25 ha;</p> <p>(3) clearing in the fauna habitat type Stony Rises shall not exceed 5.8 ha;</p> <p>(4) clearing in the fauna habitat type Rocky Foothills shall not exceed 4.6 ha;</p> <p>(5) no adverse impact to the structural integrity, microclimate or viability of the ten (10) bat caves shown in Figure 4; and</p> <p>(6) no direct disturbance of bat cave avoidance buffers around ten (10) caves in the development envelope as depicted in Figure 4 and coordinates in Schedule 1;</p>	<p>Implement:</p> <ul style="list-style-type: none"> Ground Disturbance Permit Procedure (950-EN-PRO-0006) Clearing and Grubbing Procedure (950-EN-PRO-0002) Significant Sites Buffer Design Guideline (950-EN-GUI-0002) Significant Sites Demarcation Procedure (950-EN-PRO-0018) Significant Sites Demarcation Standard (950-EN-STA-0002) Blast Management Plan Bat Roost Monitoring Procedure (950-EN-PRO-0019) Northern Quoll Monitoring Procedure (179-EN-PRO-0001 v2) Ghost Bat Monitoring Procedure (179-EN-PRO-0003 v2) 	CAR, GDP records, Site buffer records, related GIS and inspections.	Overall	Life of Project.																													
1197:M2-2	Terrestrial Fauna	<p>The proponent shall implement the proposal to meet the following environmental objective:</p> <p>(1) avoid, where possible, and otherwise minimise indirect impacts to listed conservation significant fauna species within the development envelope.</p>	<p>Implement:</p> <ul style="list-style-type: none"> Ground Disturbance Permit Procedure (950-EN-PRO-0006) Clearing and Grubbing Procedure (950-EN-PRO-0002) Fauna Management Procedure (950-EN-PRO-0004) Significant Species Management Plan Native Wildlife Procedure (950-EN-PRO-0011) 	CAR	Overall	Life of Project.																													

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Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information
1197:M2-3	Terrestrial Fauna	The proponent shall implement the latest version of the Significant Species Management Plan which shall: (1) demonstrate how the environmental outcomes in condition 2-1 and the objective of condition 2-2 are achieved; (2) include bat cave avoidance buffers around ten (10) caves in the development envelope as depicted in Figure 4 and coordinates in Schedule 1; (3) include the latest version of the northern quoll monitoring procedure; (4) include the latest version of the ghost bat monitoring procedure; (5) design blasts to perform to the blast criteria at threshold 100 mm/s at caves CO-CA-22, CO-CA-24 and CO-CA-27 for the protection of the structural integrity of bat roosts; (6) specify trigger criteria that will trigger the implementation of management and/or contingency actions to prevent direct or indirect impacts to conservation significant fauna species; (7) specify threshold criteria to demonstrate compliance with conditions 2-1 and 2-2; (8) specify monitoring methodology to determine if trigger criteria and threshold criteria have been met; (9) specify management and/or contingency actions which include changes to operations to be implemented if the trigger criteria required by condition 2-3(6) and/or the threshold criteria 2-3(7) have not been met; and (10) provide the format and timing for the reporting of monitoring results against trigger criteria and threshold criteria to demonstrate that conditions 2-1 and 2-2 have been met over the reporting period in the Compliance Assessment Report required by condition 8-6.	Implement: <ul style="list-style-type: none"> Northern Quoll Monitoring Procedure Ghost Bat Monitoring Procedure Blast Management Plan GDP Procedure Clearing and Grubbing Procedure Bat Roost Monitoring Procedure (950-EN-PRO-0019) 	CAR, Northern Quoll, Ghost Bat and SSMP results and reporting.	Overall	Life of Project.		
1197:M2-4	Terrestrial Fauna	The proponent must not commence ground disturbing activities until the CEO has confirmed in writing that the Significant Species Management Plan satisfies the requirements of condition 2-3.	Obtain CEO written confirmation of acceptance of SSMP.	Written letter from CEO.	Pre-construction	Must not commence ground disturbance until the CEO has confirmed in writing that the Significant Species Management Plan satisfies the requirements of condition 2-3.		
1197:M2-5	Terrestrial Fauna	The proponent shall implement the most recent version of the confirmed Significant Species Management Plan until the CEO has confirmed by notice in writing that the proponent has demonstrated that the environmental outcomes in condition 2-1 and objective detailed in condition 2-2 have been met.	Implement SSMP.	Written letter from CEO.	Overall	Life of Project.		
1197:M2-6	Terrestrial Fauna	In the event that the environmental outcomes in condition 2-1 are exceeded, or monitoring or investigations at any time indicate an exceedance of threshold criteria specified in the confirmed Significant Species Management Plan, the proponent shall: (1) report the exceedance in writing to the CEO and DCCEEW within seven (7) days of the exceedance being identified; (2) implement the management or contingency actions required by condition 2-3(9) within seven (7) days of the exceedances being reported as required by condition 2-6(1) and continue implementation of those actions until the CEO and DCCEEW have confirmed by notice in writing that it has been demonstrated that the threshold criteria are being met and implementation of the management and/or contingency actions are no longer required; (3) investigate to determine the cause of the threshold criteria being exceeded; (4) investigate to provide information for the CEO and DCCEEW to determine potential environmental harm or alteration of the environment that occurred due to threshold criteria being exceeded; (5) provide a further report to the CEO and DCCEEW within twenty-one (21) days of the exceedance being reported as required by condition 2-6(1) which shall include: (a) details of management and/or contingency actions implemented; (b) the effectiveness of the management and/or contingency actions implemented against the threshold criteria; (c) the findings of the investigations required by conditions 2-6(3) and 2-6(4); (d) measures to prevent the threshold criteria being exceeded in the future; (e) measures to prevent, control or abate the environmental harm which may have occurred; and (f) justification of the threshold criteria remaining, or being adjusted based on better understanding, demonstrating that outcomes will continue to be met.	Send Incident Report of exceedance to CEO and DCCEEW, implement management measures, investigate, provide details of incident, findings etc., as outlined in 2-6(5).	Report/Letter/Email to CEO and DCCEEW.	Overall	In the event that the environmental outcomes in condition 2-1 are exceeded.		

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Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information
1197:M2-7	Terrestrial Fauna	Without limiting condition 2-5 (implementation of the plan) and notwithstanding compliance with condition 2-6 (response to exceedance), the proponent must not cause or allow: (1) a failure to implement one or more management and/or contingency actions, if the relevant threshold criteria have been exceeded; (2) the exceedance of a threshold criteria (regardless of whether the relevant management and/or contingency actions have been or are being implemented); and/or (3) a failure to comply with the requirements of the confirmed Significant Species Management Plan.	Implement management actions, prevent exceedances and comply with the SSMP.	CAR.	Overall	Life of Project.		
1197:M2-8	Terrestrial Fauna	The proponent: (1) may review and revise the confirmed Significant Species Management Plan and submit it to the CEO; and (2) shall review and revise the confirmed Significant Species Management Plan and submit it to the CEO as and when directed by the CEO.	Revise and review SSMP.	Letter and revised SSMP sent to CEO.	Overall	Life of Project.		
1197:M3-1	Inland Waters	The proponent shall implement the proposal to meet the following outcome: (1) avoid direct disturbance of the 50 m avoidance buffer around pools CO-WS-04, CO-WS-16, CO-WS-17 and CO-WS-18 as depicted in Figure 3 and coordinates in Schedule 1.	Implement: <ul style="list-style-type: none"> Ground Disturbance Permit Procedure (950-EN-PRO-0006) Clearing and Grubbing Procedure (950-EN-PRO-0002) Significant Sites Buffer Design Guideline (950-EN-GUI-0002) Significant Sites Demarcation Procedure (950-EN-PRO-0018) Significant Sites Demarcation Standard (950-EN-STA-0002) 	CAR, GDP records, Site buffer records and related GIS.	Overall	Life of Project.		
1197:M4-1	Cultural Heritage	The proponent shall implement the proposal to meet the following outcomes: (1) avoid direct disturbance of Aboriginal heritage avoidance buffers and their sites CRD-03-13B and CRD-71-20, located in the exclusion zones as shown in Figure 3 and coordinates in Schedule 1; and (2) subject to reasonable health and safety requirements, allow ongoing access to land utilised for traditional owner and custodian access within and surrounding the development envelope during operations.	Implement: <ul style="list-style-type: none"> Ground Disturbance Permit Procedure (950-EN-PRO-0006) Significant Sites Demarcation Procedure (950-EN-PRO-0018) Significant Sites Demarcation Standard (950-EN-STA-0002) Aboriginal Cultural Heritage Management Plan (AHCMP), including cultural land access protocol. 	CAR, heritage site inspection records. Incident reporting system records, relating to: <ul style="list-style-type: none"> Record of ground disturbance within sites and/or their buffers. TO complaint regarding refused access (without reasonable health and safety concern). 	Overall	Life of Project.		

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Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information
1197:M4-2	Cultural Heritage	The proponent shall implement the proposal to meet the following objectives: (1) avoid, where possible, and otherwise minimise indirect impacts to Aboriginal heritage sites CRD-03-13B and CRD-71-20, within and surrounding the disturbance footprint; and (2) avoid, where possible, and otherwise minimise direct and indirect impacts to social and cultural places and activities of significance .	Implement ACHMP, including; blast management and monitoring plan, inadvertent discovery protocol and pool monitoring program.	CAR. Incident reporting system records, relating to: <ul style="list-style-type: none"> Any incident of rockfall at CRD-03-13B Any TO complaint regarding excessive dust within rockshelter CRD-03-13B Any GDP Breach – where clearing took place without a GDP in an area that had not been appropriately heritage surveyed. TO consultation records Pool monitoring records Survey/commissioning records.	Overall	Life of Project.		
1197:M4-3	Cultural Heritage	Prior to the ground disturbing activities , or such lesser time approved in writing by the CEO, the proponent shall, in collaboration with the Njamal Aboriginal Corporation, prepare and submit to the CEO a Cultural Heritage Management Plan, to meet the outcomes specified in condition 4-1 and objectives specified in condition 4-2 and this plan shall: (1) specify the objectives to be achieved, as specified in condition 4-2; (2) include a framework for consultation with traditional owners and custodians via the Njamal Aboriginal Corporation and other relevant stakeholders during the life of the proposal; (3) include information on ongoing monitoring of permanent water sources including the creeklines that flow between the development envelope and Coongan river; (4) specify operational environmental management activities relevant to cultural heritage; (5) include information on the outcomes of a heritage survey over a 200 m wide area surrounding the development envelope; (6) specify management actions that will be implemented to demonstrate compliance with the objectives specified in condition 4-2; (7) specify target(s) to determine the effectiveness of the management actions; (8) specify monitoring to measure the effectiveness of management actions against management targets; (9) in the event that the outcomes, objectives, and management targets are not achieved, specify a process of review and revision of management actions and changes to activities. Such process must include an investigation to determine the cause of the outcome, objective or management target(s) not being met; (10) report on compliance with the outcomes specified in condition 4-1 and objectives specified in condition 4-2; and (11) provide the format and timing to demonstrate that conditions 4-1, 4-2, and 4-3 have been met for the reporting period in the Compliance Assessment Report required by condition 8-6 including, but not limited to: <ul style="list-style-type: none"> (a) verification of the implementation of management actions; and (b) reporting on the effectiveness of management actions against the outcomes and objectives. 	Provide ACHMP to CEO for approval.	Letter to CEO with ACHMP.	Pre-Construction	Prior to ground-disturbing activities.		
1197:M4-4	Cultural Heritage	The proponent must not commence ground disturbing activities until the CEO confirmed in writing that the plan submitted under condition 4-3 satisfies the requirements of condition 4-3.	No ground-disturbing activities until CEO approval granted.	Letter from CEO approving ACHMP.	Pre-Construction	Prior to Ground Disturbing activities.		

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Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information
1197:M4-5	Cultural Heritage	The proponent must implement the most recent version of the confirmed Cultural Heritage Management Plan until the CEO has confirmed by notice in writing that the proponent has demonstrated the outcomes specified in condition 4-1 and objectives specified in condition 4-2 have been met or are able to be met under another statutory decision-making process.	Continue to implement the authorised/approved ACHMP.	CAR or Letter from CEO confirming outcomes and objectives have been met.	Overall	Life of Project or until CEO confirms outcomes and objectives have been met.		
1197:M4-6	Cultural Heritage	In the event that monitoring, tests, surveys or investigations indicate non-achievement of outcomes specified in condition 4-1 or the objectives specified in condition 4-2, the proponent must: (1) report the non-achievement in writing to the CEO , and the Njama Aboriginal Corporation, within twenty-one (21) days of the non-achievement being identified; (2) investigate to determine the cause of outcome or management target(s) not being achieved; (3) provide a further report to the CEO , Njama Aboriginal Corporation, within ninety (90) days of the non-achievement being reported as required by condition 4-7(1) which must include: (a) a description of the cause of outcome or management target(s) being exceeded if known, or analysis of likely causes if not known; (b) the findings of the investigation required by condition 4-6(2); (c) details of revised and/or additional management actions to be implemented to prevent non-achievement; and (d) relevant changes to activities.	Implement ACHMP - including Management Target Non-Achievement Reporting	Non-achievement report	Overall	Life of Project - In the event that monitoring, tests, surveys or investigations indicate non-achievement of outcomes/objectives		
1197:M4-7	Cultural Heritage	In the event that monitoring, tests, surveys or investigations indicate that one or more management action(s) specified in the confirmed Cultural Heritage Management Plan have not been implemented, the proponent must: (1) report the failure to implement the management action(s) in writing to the CEO , Njama Aboriginal Corporation, within seven (7) days of identification; (2) investigate to determine the cause of the management action(s) not being implemented; (3) investigate to determine potential environmental harm or alteration of the environment that occurred due to the failure to implement management action(s); (4) provide a further report to the CEO , Njama Aboriginal Corporation, within twenty-eight (28) days of the non-compliance being identified, which must include: (a) cause for failure to implement management action(s); (b) the findings of the investigation required by condition 4-7(2); (c) relevant changes to activities; and (d) measures to prevent, control or abate the environmental harm which may have occurred.	Implement ACHMP - including Failure to Implement Reporting	Failure to implement report	Overall	Life of Project - In the event that monitoring, tests, surveys or investigations indicate that one or more management action(s) have not been implemented.		
1197:M4-8	Cultural Heritage	Without limiting condition 4-5 (implementation of the plan) and notwithstanding compliance with condition 4-6 (response to exceedance), the proponent must not cause or allow: (1) a failure to implement one or more management actions specified in the confirmed Cultural Heritage Management Plan, and/or (2) failure to comply with the requirements of the confirmed Cultural Heritage Management Plan.	Implement ACHMP.	CAR, Failure to implement report	Overall	Life of Project		
1197:M4-9	Cultural Heritage	The proponent, in consultation with the Njama Aboriginal Corporation: (1) may review and revise the confirmed Cultural Heritage Management Plan and submit it to the CEO ; and (2) shall review and revise the confirmed Cultural Heritage Management Plan as and when directed by the CEO .	Implement ACHMP, including ACHMP annual review or as requested by CEO, in consultation with NAC.	ACHMP document control and consultation records	Overall	Life of Project		
1197:M5-1	Offsets	The proponent shall contribute funds to the Pilbara Environmental Offsets Fund calculated pursuant to condition 5-2, to counterbalance the significant residual impacts to: (1) 'Excellent' condition native vegetation; and (2) critical habitat for the northern quoll, ghost bat, Pilbara leaf-nosed bat, and Pilbara olive python.	Pay Offsets in line with Impact Reconciliation Procedure.	Receipt of Payment and GDP GIS records.	Overall	Payment will be made no later than thirty (30) days after receiving invoice from DWER.		
1197:M5-2	Offsets	The proponent's provisional contribution to the Pilbara Environmental Offsets Fund shall be paid after the conclusion of the biennial reporting period specified in conditions 5-5, with the provisional amount to be contributed, calculated based on the clearing undertaken during the biennial reporting period in accordance with the highest applicable rate specified in condition 5-3 for the relevant type of vegetation.	Pay Offsets in line with Impact Reconciliation Procedure.	Receipt of Payment and GDP GIS records.	Overall	Shall be paid after the conclusion of the biennial reporting period specified in conditions 5-5.		
1197:M5-3	Offsets	Calculated on the 2020-2021 financial year, the contribution rates are: (1) \$794 AUD (excluding GST) per hectare of 'Good to Excellent' condition native vegetation within the Chichester IBRA subregion; and (2) \$1,587 AUD (excluding GST) per hectare of critical habitat, northern quoll, ghost bat, Pilbara leaf-nosed bat and Pilbara olive python within the Chichester IBRA subregion.	Pay Offsets in line with Impact Reconciliation Procedure.	Receipt of Payment and GDP GIS records.	Overall	Shall be paid after the conclusion of the biennial reporting period specified in conditions 5-5.		

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Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information
1197:M5-4	Offsets	The rates in condition 5-3 change annually each subsequent financial year in accordance with the percentage change in the CPI applicable to that financial year.	Check for any changes to rates before payment. Pay Offsets in line with Impact Reconciliation Procedure.	Receipt of Payment and GDP GIS records.	Overall	Check rate changes every year prior to calculation and payment.		
1197:M5-5	Offsets	The proponent must prepare and submit a Sanjiv Ridge Stage 2 Project Impact Reconciliation Procedure to the CEO prior to ground disturbing activities which must: (1) spatially define the environmental value(s) identified in condition 5-1; (2) spatially define the areas in respect of which offsets required by condition 5-1 are to be calculated; (3) include a methodology to calculate the amount of clearing undertaken during each year of the biennial reporting period for each of the environmental values identified in condition 5-3; (4) state that clearing calculation for the first biennial reporting period will commence from ground disturbing activities in accordance with condition 5-2 and end on the second 30 June following the commencement of ground disturbing activities ; (5) state that clearing calculations for each subsequent biennial reporting period will commence on 1 July of the required reporting period, unless otherwise agreed by the CEO ; (6) indicate the timing and content of the Impact Reconciliation Reports; and (7) be prepared in accordance with Instructions on how to prepare <i>Environmental Protection Act 1986 Part IV Impact Reconciliation Procedures and Impact Reconciliation Reports</i> (or any subsequent revisions).	Submit Sanjiv Ridge Stage 2 Project Impact Reconciliation Procedure to the CEO.	Letter and IRP to CEO.	Pre-Construction	Prior to ground-disturbing activities.		
1197:M5-6	Offsets	The proponent must not commence ground disturbing activities until the CEO has confirmed by notice in writing that the Impact Reconciliation Procedure satisfies the requirements of condition 5-5.	Obtain written approval of IRP.	Letter from CEO.	Pre-Construction	Prior to ground-disturbing activities.		
1197:M5-7	Offsets	The proponent: (1) may review and revise the confirmed Impact Reconciliation Procedure; or (2) shall review and revise the confirmed Impact Reconciliation Procedure as and when directed by the CEO by a notice in writing.	Review/revise the IRP as needed.	Up to date IRP revisions, letter from/to CEO.	Overall	Life of Project.		
1197:M5-8	Offsets	The proponent shall submit Impact Reconciliation Reports in accordance with the confirmed Impact Reconciliation Procedure.	Submit Impact Reconciliation Reports.	Letter and IRRs to CEO.	Overall	Life of Project.		
1197:M5-9	Offsets	Despite payment of the provisional contribution, the proponent's liability to make a contribution under this condition shall be finally determined: (1) by the Minister upon application by the proponent in writing to the Minister to reduce in part or whole the proponent's liability under this condition where: (a) a payment has been made to satisfy a condition of an approval under the <i>Environment Protection and Biodiversity Conservation Act 1999</i> in relation to the proposal; and/or (b) the payment is made for the purpose of counterbalancing impacts of the proposal on matters of national environmental significance identified in condition 5-1; or (2) to be equivalent to the provisional contribution if no application of the kind described in condition 5-9(1) is made within twelve (12) months of the conclusion of the relevant biennial reporting period.	Obtain final determination of liability from CEO in writing.	Letter from CEO.	Overall	Life of Project.		
1197:M6-1	Contact Details	The proponent shall notify the CEO of any change of its name, physical address or postal address for the serving of notices or other correspondence within twenty-eight (28) days of such change. Where the proponent is a corporation or an association of persons, whether incorporated or not, the postal address is that of the principal place of business or of the principal office in the State.	Letter notification to CEO as needed.	Letter to CEO.	Overall	Within twenty-eight (28) days of such change.		
1197:M7-1	Time Limit for Proposal Implementation	The proponent shall not commence implementation of the proposal after five (5) years from the date of this Statement, and any commencement, prior to this date, must be substantial.	Letter to CEO confirming substantial commencement of project between Ministerial Approval and 5 years.	Letter to CEO.	Construction	Prior to 13 September 2027.		
1197:M7-2	Time Limit for Proposal Implementation	Any commencement of implementation of the proposal, on or before five (5) years from the date of this Statement, must be demonstrated as substantial by providing the CEO with written evidence, on or before the expiration of five (5) years from the date of this Statement.	Letter to CEO confirming substantial commencement of project between Ministerial Approval and 5 years.	Letter to CEO.	Construction	Following Substantial Commencement.		
1197:M8-1	Compliance Reporting	The proponent shall prepare and maintain a Compliance Assessment Plan which is submitted to the CEO at least six (6) months prior to the first Compliance Assessment Report required by condition 8-6, or prior to ground disturbing activities , whichever is sooner.	Produce and submit CAP to the CEO.	CAP.	Pre-construction	At least six (6) months prior to the first Compliance Assessment Report required by condition 8-6, or prior to ground disturbing activities, whichever is sooner.		

Sanjiv Ridge Stage 2 Compliance Assessment Plan

Ministerial Statement 1197



Audit code	Subject	Requirement	How	Evidence	Phase	Timeframe	Status	Further information
1197:M8-2	Compliance Reporting	The Compliance Assessment Plan shall indicate: (1) the frequency of compliance reporting; (2) the approach and timing of compliance assessments; (3) the retention of compliance assessments; (4) the method of reporting of potential non-compliances and corrective actions taken; (5) the table of contents of Compliance Assessment Reports; and (6) public availability of Compliance Assessment Reports.	Address in CAP.	Submitted CAP.	Pre-construction	Prior to Project Commencement.		
1197:M8-3	Compliance Reporting	After receiving notice in writing from the CEO that the Compliance Assessment Plan satisfies the requirements of condition 8-2, the proponent shall assess compliance with conditions in accordance with the Compliance Assessment Plan required by condition 8-1.	Receive approval notice from the CEO, then assess compliance against all items.	CAR.	Overall	Life of Project.		
1197:M8-4	Compliance Reporting	The proponent shall retain reports of all compliance assessments described in the Compliance Assessment Plan required by condition 8-1 and shall make those reports available when requested by the CEO .	Retain all reports on our ECMS and make available on request.	ECMS records.	Overall	Life of Project.		
1197:M8-5	Compliance Reporting	The proponent shall advise the CEO of any potential non-compliance within seven (7) days of that non-compliance being known.	Written correspondence.	Letter the CEO.	Overall	Within seven (7) days of that non-compliance being known.		
1197:M8-6	Compliance Reporting	The proponent shall submit to the CEO the first Compliance Assessment Report fifteen (15) months from the date of issue of this Statement addressing the twelve (12) month period from the date of issue of this Statement and then annually from the date of submission of the first Compliance Assessment Report, or as otherwise agreed in writing by the CEO . The Compliance Assessment Report shall: (1) be endorsed by the proponent's Chief Executive Officer or a person delegated to sign on the Chief Executive Officer's behalf; (2) include a statement as to whether the proponent has complied with the conditions; (3) identify all potential non-compliances and describe corrective and preventative actions taken; (4) be made publicly available in accordance with the approved Compliance Assessment Plan; and (5) indicate any proposed changes to the Compliance Assessment Plan required by condition 8-1.	Written correspondence.	Letter the CEO.	Overall	Fifteen (15) months from the date of issue of this Statement addressing the twelve (12) month period from the date of issue of this Statement and then annually from the date of submission of the first Compliance Assessment Report, or as otherwise agreed in writing by the CEO.		
1197:M9-1	Public Availability of Data	Subject to condition 9-2, within a reasonable time period approved by the CEO of the issue of this Statement and for the remainder of the life of the proposal, the proponent shall make publicly available, in a manner approved by the CEO , all validated environmental data (including sampling design, sampling methodologies, empirical data and derived information products (e.g. maps), management plans and reports relevant to the assessment of this proposal and implementation of this Statement.	Make available on the Atlas's Website or other manner approved by CEO.	Website.	Overall	Within thirty (30) days of the relevant report being submitted or relevant Management Plan being approved. Life of Project.		
1197:M9-2	Public Availability of Data	If any data referred to in condition 9-1 contains particulars of: (1) a secret formula or process; or (2) confidential commercially sensitive information, or (3) sensitive Aboriginal cultural knowledge; the proponent may submit a request for approval from the CEO to not make these data publicly available. In making such a request the proponent shall provide the CEO with an explanation and reasons why the data should not be made publicly available.	Written correspondence.	Letter the CEO.	Overall	If required.		

Notes

- Phases that apply in this table = Pre-Construction, Construction, Operation, Decommissioning, Overall (several phases).
- This audit table is a summary and timetable of conditions and commitments applying to this project. Refer to the Ministerial Statement for full detail and precise wording of individual elements.
- Code prefixes: M = Ministerial Statement condition.
- Acronyms list: CAR = Compliance Assessment Report; CAP = Compliance Assessment Plan; CEO = Chief Executive Officer of DWER; DWER = Department of Water and Environmental Regulation; DBCA = Department of Biodiversity, Conservation and Attractions; DPLH = Department of Planning, Lands and Heritage; DMIRS = Department of Mines, Industry Regulation and Safety; EPA = Environmental Protection Authority; DoH = Department of Health; Minister for Env = Minister for the Environment; SSMP = Significant Species Management Plan.
- Compliance Status: C = Compliant, CLD = Completed, NA = Not Audited, NC = Non-compliant, NR = Not required at this stage. Note the terms VR = Verification Required and IP = In Process are only for DWER use.